Attorney Docket No.: MTEC-006/00US

Client Reference No.: 0123.00US

PATENT



EV 525579709 US

Date of Deposit:

June 3, 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Radhika THEKKATH

Serial No.:

09/844,673

Examiner:

Rutten, James D.

Confirmation No.:

8988

Art Unit:

2122

Filed:

April 30, 2001

For:

TRACE CONTROL BASED ON A CHARACTERISTIC OF A

PROCESSOR'S OPERATING STATE

**Mail Stop Amendment** 

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X] In accordance with 37 C.F.R. §1.97(c), also enclosed is:

[X] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or

[] a statement as specified in 37 C.F.R. §1.97(e).

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Cooley Godward LLP

ATTN: Patent Group Five Palo Alto Square

3000 El Camino Real

Palo Alto, CA 94306-2155

Tel: (650) 843-5000 Fax: (650) 857-0663

WSG:dm

Respectfully submitted,

COOLEY GODWARD LLP

By:

William S. Galliani Reg. No. 33,885 Attorney Docket No.: MTEC-006/00US

Client Reference No.: 0123.00US

Express Mail Label Number:

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### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- [X] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08A is enclosed.
- [] No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- [] No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.

Attorney Docket No.: MTEC-006/00US Application Serial No.: 09/844,673

Page 2

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of:

[X]	a final action under 37 C.F.R. §1.113;
[]	a notice of allowance under 37 C.F.R. §1.311; or
[]	an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. §1.97(c) also enclosed is:

- [X] Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- [] Statement as specified in 37 C.F.R. §1.97(e):
  - [] Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
  - [] No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: JUN 3, 2005		Respectfully submitted, COOLEY GODWARD LLP
Cooley Godward LLP ATTN: Patent Group	Den	
Five Palo Alto Square 3000 El Camino Real Palo Alto CA 94306-2155	Ву:	William S. Galliani Reg. No. 33,885

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WSG:dm



Sheet

Substitute for form 1449A/PTO
INFORMATION DISCLOSURE

STATEMENT BY APPLICANT (use as many sheets as necessary)

1 of 1

(use as many sheets as necessary)

	Complete if Known				
Application Number	09/844,673				
Filing Date	April 30, 2001				
First Named Inventor	THEKKATH				
Group Art Unit	2122				
Examiner Name	Rutten, James D.				
Attorney Docket Number	MTEC-006/00US				

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of December of April 2014 of Cited	Date of Publication			
		Office <sup>1</sup>	Number <sup>2</sup>	Kind Code <sup>3</sup> (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	T <sup>4</sup>		
	<u> </u>								

Examiner	Cite	OTHER - NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	T <sup>2</sup>
Initials*	No.1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	-
	D1	Susan J. EGGERS, et al., "Techniques for Efficient Inline Tracing on a Shared-Memory Multiprocessor," University of Washington, 1990 ACM, pp. 37-47.	
	D2	Richard A. UHLIG, et al., "Trace-Driven Memory Simulation: A Survey," Intel Microcomputer Research Lab; University of Michigan, ACM Computing Surveys, Vol. 29, No. 2, June 1997, pp. 128-170.	
	D3	Eric ROTENBERG, et al., "Trace Processors," University of Wisconsin, 1997 IEEE Service Center, 12 pp.	
	D4	E.N. ELNOZAHY, "Address Trace Compression Through Loop Detection and Reduction," ©1999 ACM 1-58113-083, pp. 214, 215.	
•	D5	Darren JONES, "Opal Coprocessor Interface," MIP Propietary/Confidential, June 4, 1999, pp. 1-18.	
	D6	Darren JONES, MIPSS64™ 5KC™ Processor Cores User's Manual, Rev. 1.0, July 4, 1999, pp. 6-1 to 6-26.	
	D7	MIPSS64 <sup>TM</sup> 5KC <sup>TM</sup> Processor Cores User's Manual, Rev. 1.0.1., 1999, pp. 247-276.	

Examiner Signature	Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>&</sup>lt;sup>2</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>&</sup>lt;sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number.

<sup>&</sup>lt;sup>2</sup> Applicant is to place a check mark here if English language Translation attached.